



U. S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

EMERGENCY, NON-PRESIDENTIALLY DECLARED NATURAL DISASTER, AND EMERGENCY SAFETY/SECURITY GRANTS

APRIL 2021

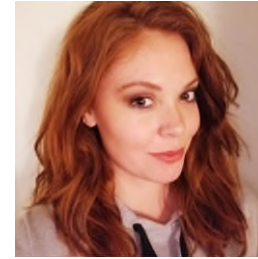




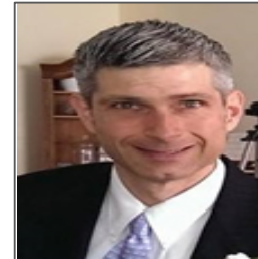
PRESENTERS



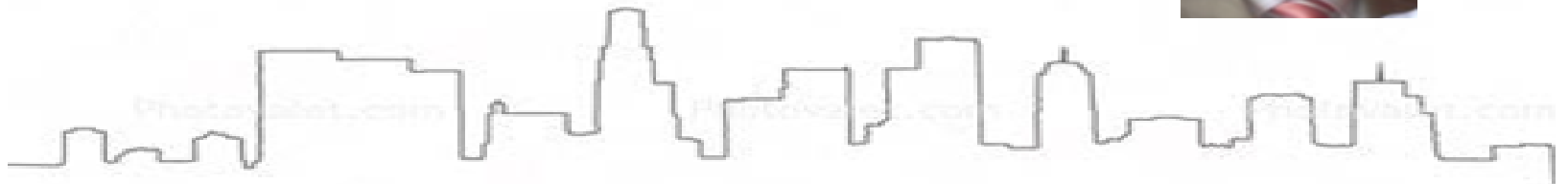
- Sarah Culligan, Housing Capital Improvement Specialist, Office of Capital Improvements



- Tom Shelton, Housing Capital Improvement Specialist, Office of Capital Improvements



- Damien Thomas, General Engineer, Office of Capital Improvements

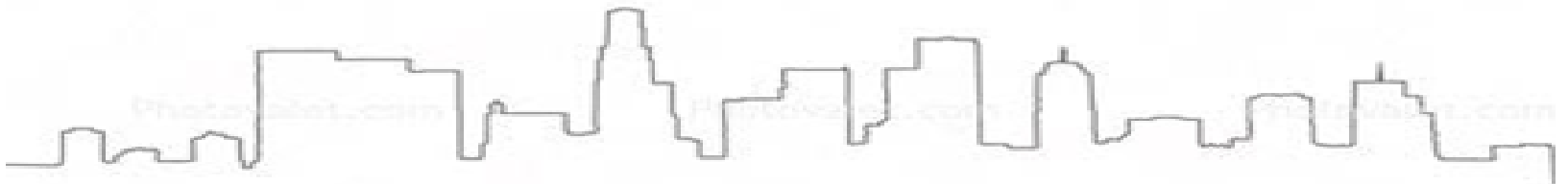




AGENDA



- Emergency, Non-Presidentially Declared Natural Disaster Grants
- Emergency Safety and Security Grants

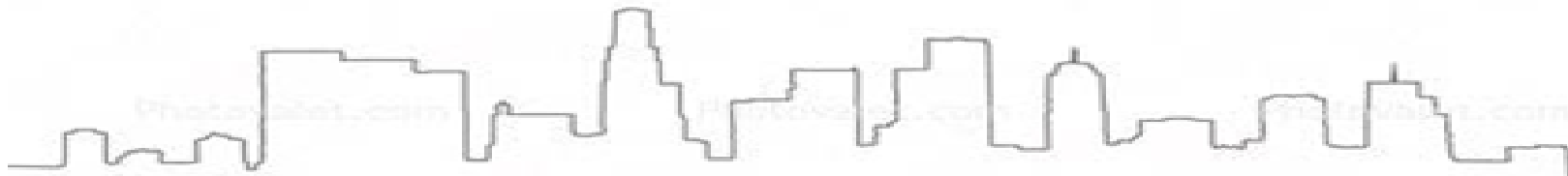




OVERVIEW

- A Congressionally Mandated set aside of Capital Fund Program (CFP)
- Authorized by annual appropriations
- Permits HUD to use a *portion* of the set-aside for safety and security funding
- Grants cover capital needs arising from emergencies and non-presidentially declared natural disasters within current fiscal year (FY) of request
- First-come, first-serve

https://www.hud.gov/program_offices/public_indian_housing/programs/ph/capfund/emfunding





EMERGENCY AND NATURAL DISASTER GRANTS

➤ What is an emergency?

- An unforeseen or unpreventable event or occurrence that poses an immediate threat to the health and safety of the residents
- Must be corrected within one year of funding
- Examples are sewer line, boiler and chiller system failures, severe electrical problems.

➤ What is a disaster?

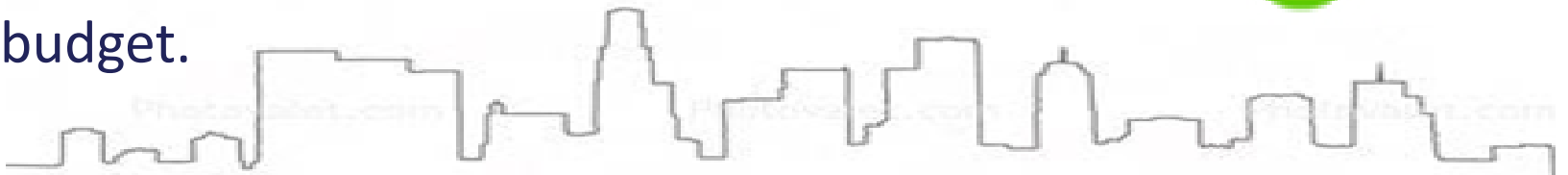
- An extraordinary event, such as an earthquake or hurricane, affecting a public housing property owned and managed by a PHA
- Excludes areas covered by a presidentially declared disaster (i.e. Hurricane Sandy)





EMERGENCY AND NATURAL DISASTER GRANTS – ELIGIBLE USES

- Costs associated with the repair or replacement of a public housing project - only damaged within the same FY as the request and as result of an Emergency or Natural Disaster in a non-presidentially declared disaster area.
- Relocation and the cost to provide temporary housing to public housing residents (i.e. hotel, moving van rental, temporary storage, boxes.) until public housing units can be repaired.
- PHA construction management costs up to 2% and Contingency up to 8% of initial budget.



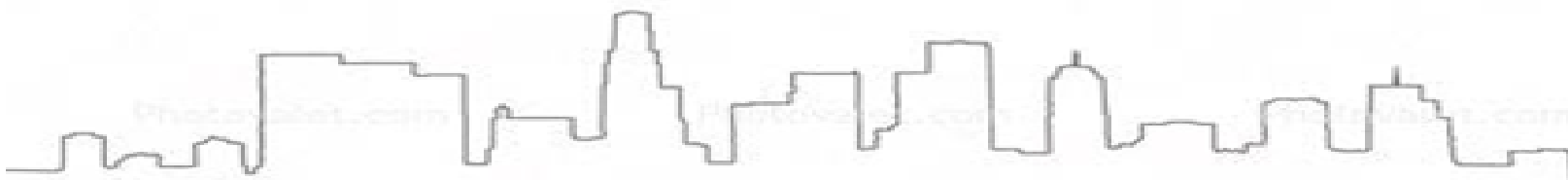


EMERGENCY AND NATURAL DISASTER GRANTS – INELIGIBLE USES

- Cost of routine and preventative maintenance, such as routine unit turnaround, janitorial services, elevator service, extermination of rodents and pests, etc.
- Transfers to Operations
 - Operations costs are not capital needs!



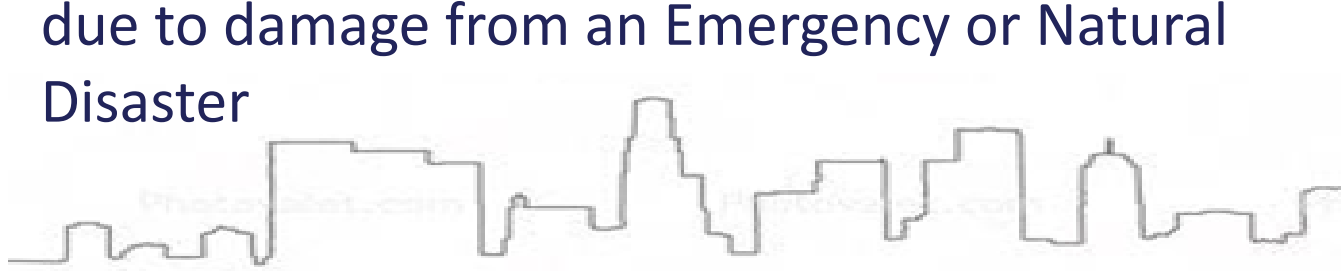
If a PHA routinely transfers the maximum amount of Capital Funds to operations and finds itself in need of emergency funds -alter policies to convert a lower percentage of Capital Funds to operations.





EMERGENCY AND NATURAL DISASTER GRANTS – INELIGIBLE USES, CONT.

- Management Improvements
- Items normally replaced as part of a modernization effort, but not required due to the emergency (i.e. if a property roof is destroyed by a disaster and the bathrooms on the top floor are damaged, the PHA cannot use an Emergency grant to replace the toilets, sinks, tubs, on lower floors even if such items need replacement.) It may however replace the top floor damaged bathrooms
- Cost for repairs of a public housing project that were not due to damage from an Emergency or Natural Disaster

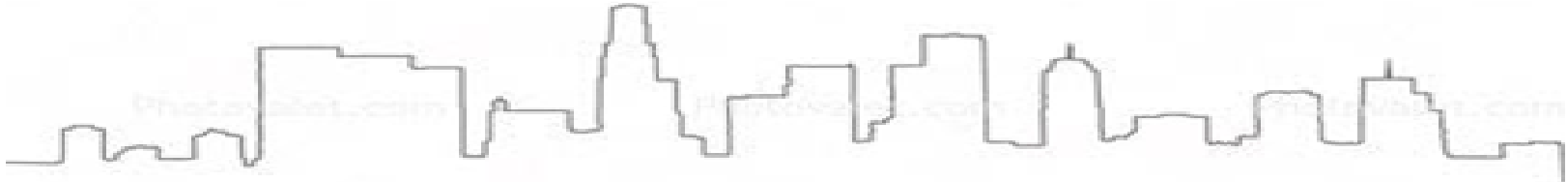




NATURAL DISASTER/EMERGENCY GRANTS APPLICATION



Sections	Description
Transmittal Letter	<ul style="list-style-type: none">From PHA submitting application
Narrative	<ul style="list-style-type: none">Identifies the public housing project(s) affected by the natural disaster or emergencyDate of the natural disaster or emergencyDescription of the damages caused by the natural disaster or emergency
HUD-50075.1 Parts I and II	<ul style="list-style-type: none">Must be specifically for the proposed natural disaster or emergency work itemsCannot submit the current FY Capital Fund grant annual statement
Independent Cost Estimate	<ul style="list-style-type: none">Independent cost estimate.
Standard Forms	Standard Form (SF)-LLL, Disclosure of Lobbying Activities

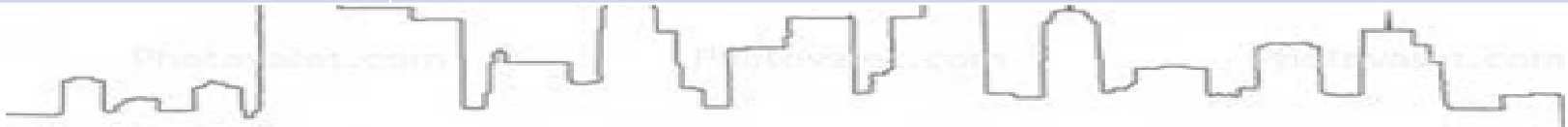




NATURAL DISASTER/EMERGENCY GRANTS APPLICATION, CONTINUED



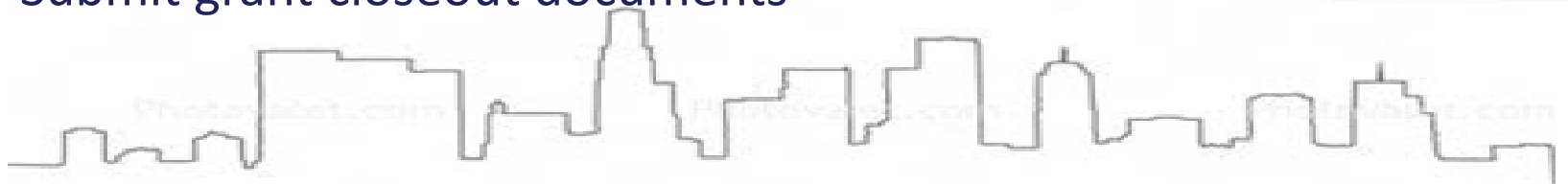
Sections	Description
Certifications	<ul style="list-style-type: none">• Certification for Contracts, Grants, Loans and Cooperative Agreements (Form HUD-50071)• PHA Certifications of Compliance with the PHA Plans and Related Regulations (Form HUD-50077)• Statement certifying PHA is in compliance with civil rights threshold requirements• PHA has exhausted its CF or has committed those funds to other public housing interests or capital improvements.
Finance Document	<ul style="list-style-type: none">• Sources of available funds (e.g. insurance proceeds, unobligated CF, other Federal or State assistance)
Insurance Company Letter	<ul style="list-style-type: none">• Written statement from the insurance company of non-coverage. If covering a portion, certifying the dollar amount and items covered.





EMERGENCY AND NATURAL DISASTER ROLES - PHA

- Assess the needed work items resulting from an emergency.
- Add the Work Activities to the corresponding year of the grant into their 5-year action plan in EPIC.
- The Document Package will be reviewed and accepted by the Field Office in EPIC and the PHA will be notified and the funds will show on 0100 (Reserved) in LOCCS.
- The PHA may then “create a budget” to spend their approved activities.
- Ensure that an environmental review is completed (24 CFR Part 58) or request HUD complete an environmental review (24 CFR Part 50)
- Submit effective Declarations of Trust (DOTs)
- Obligate and expend funds within the required timelines
- Submit grant closeout documents





EMERGENCY AND DISASTER APPLICATION PROCESS - PRELIMINARY APPLICATION

Preliminary Disaster Application

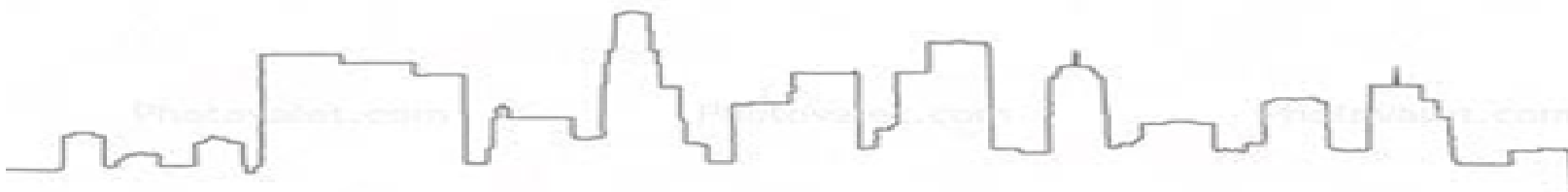
Urgent work items that are needed to ensure the safety of public housing residents or preserve public housing property

PHA has not yet compiled information for application (such as development of a statement of work for the total damage at HA's development or insurance proceeds or state and local funds)

Request should reasonably identify damage caused by the Natural Disaster and the costs for public housing resident protection and project preservation

Urgent Work Item Examples include:

- shoring up buildings to prevent collapse after earthquake
- relocating residents from uninhabitable units
- temporary roof covers to prevent water damage to units





EMERGENCY AND NATURAL DISASTER GRANTS

OBLIGATION, EXPENDITURE AND RECAPTURES



Emergency Grant

Non-Presidentially Declared Natural Disaster Grant

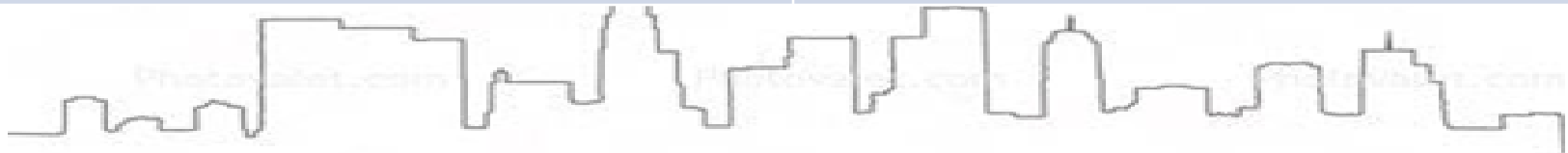
12 months to obligate 90%.
HUD will recapture unobligated portion if PHA fails OED.

24 months to obligate 90%.
HUD will recapture unobligated portion if PHA fails OED.

24 months to expend 100%.
HUD will recapture undisbursed portion if PHA fails EED.

48 months to expend 100%.
HUD will recapture undisbursed portion if PHA fails EED.

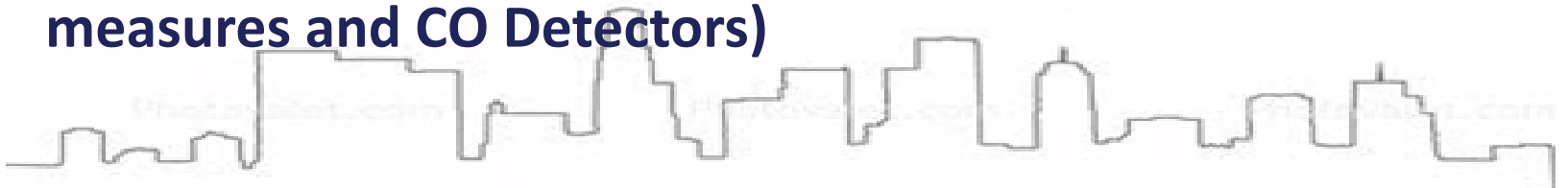
Closely monitored by the FO





EMERGENCY SAFETY AND SECURITY GRANTS

- Set-aside within the Natural Disaster and Emergency grant set-aside
- For emergency capital improvements related to safety and security to address crime and drug related activity or safety related to carbon monoxide.
- FY21 funding requirements in PIH Notice 2020-25; **FY21 applications due June 2, 2021**
- PHAs can submit separate applications for Crime prevention measures and for Carbon Monoxide (CO) Detectors; however, will be treated as one application in the lottery
- Grants limited to \$250,000 per PHA (one time funding per project) **(even if applying for both Crime prevention measures and CO Detectors)**

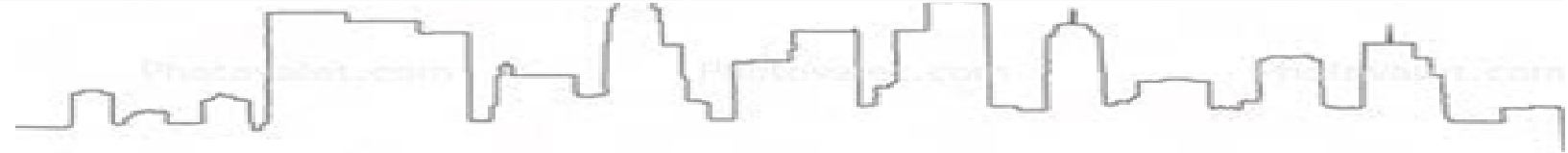




EMERGENCY SAFETY AND SECURITY GRANTS



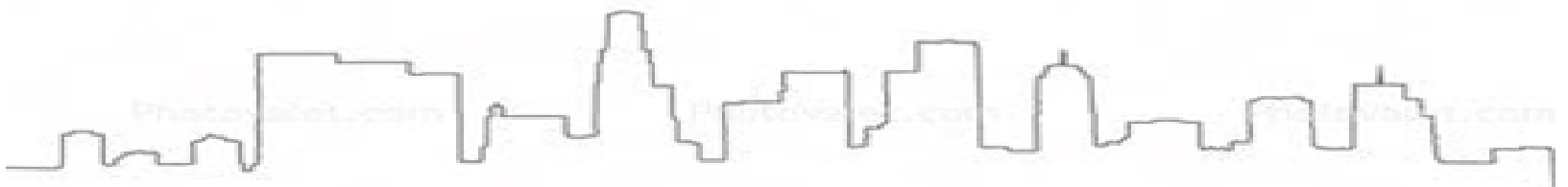
Eligible Costs	Ineligible Costs
Security systems/cameras, including recorders	Salaries for PHA staff, including security staff
Fencing	Patrol Cars
Lighting Systems	Security patrol contracts or payment to local law enforcement for additional security patrols
Emergency Alarm Systems	Administrative Costs (BLI 1410)
Window Bars	Transfers to Operations (BLI 1406)
Deadbolt Locks	
Doors	
Carbon monoxide detectors	





EMERGENCY SAFETY AND SECURITY GRANT INELIGIBILITY

- A PHA is ineligible to receive safety and security funding:
 - If it has previously received safety and security funding for the same development(s) for which funds are requested
 - If it has any cause determinations, lawsuits, letters or findings that have not been resolved to HUD's satisfaction.
 - Projects with an active RAD CHAP are **NOT Eligible** for Safety and Security funding

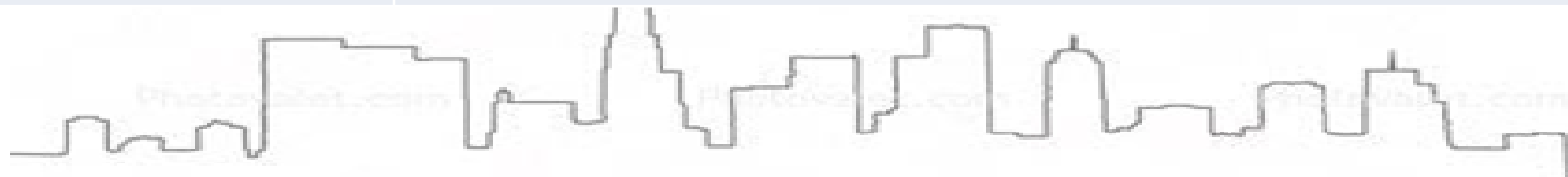




EMERGENCY SAFETY AND SECURITY GRANTS APPLICATION



Sections	Description
Narrative	<ul style="list-style-type: none">• Describe how PHA has experienced increased threat to health and safety of public housing residents• Most recent crime data (FY of grant) of PHA's locality from recognized source• Description of fuel-burning devices that emit carbon-monoxide and status of detectors for grants associated with carbon-monoxide.
HUD-50075.1 Parts I and II	<ul style="list-style-type: none">• Must be specifically for the proposed Safety and Security grant.• Cannot submit the current FY Capital Fund grant annual statement
External Source Narrative	<ul style="list-style-type: none">• PHA officials, Resident Advisory Board; or,• Local community policing organizations; or,• Local officials.
Standard Forms	Standard Form (SF)-LLL, Disclosure of Lobbying Activities

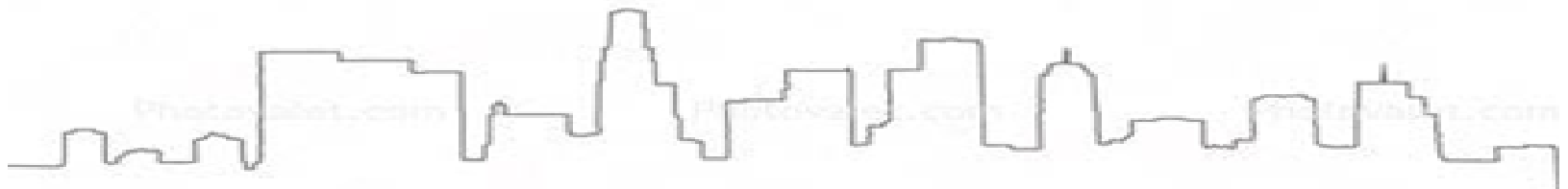




EMERGENCY SAFETY AND SECURITY GRANTS APPLICATION, CONTINUED



Sections	Description
Certifications	<ul style="list-style-type: none">• Certification for Contracts, Grants, Loans and Cooperative Agreements (Form HUD-50071)• PHA Certifications of Compliance with the PHA Plans and Related Regulations (Form HUD-50077-ST-HCV-HP)• Statement certifying PHA is in compliance with civil rights threshold requirements
Finance Document	<ul style="list-style-type: none">• Documented ability to partially finance proposed project (if project is over \$250,000)• Description of activities to correct safety & security emergency and estimated cost• Submit application via email (.pdf file format) to SafetyandSecurityQuestions@hud.gov <p>:</p>





EMERGENCY SAFETY AND SECURITY GRANTS

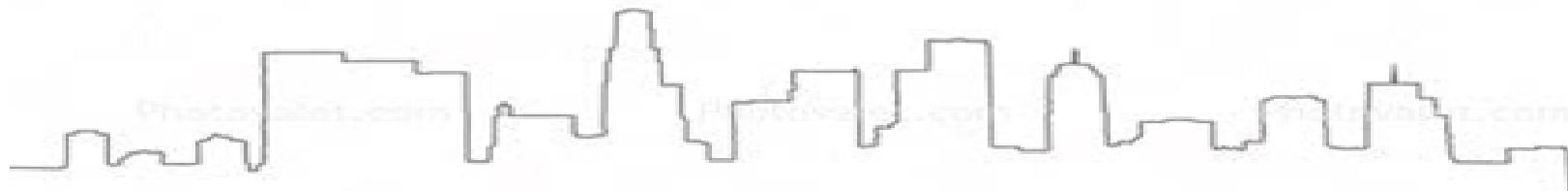
OBLIGATIONS, EXPENDITURES AND SANCTIONS

Emergency Grant

12 months to obligate 90%. **HUD will recapture unobligated portion if PHA does not meet OED requirements.**

24 months to expend 100%. **HUD will recapture undisbursed portion if PHA does not meet EED requirements.**

Closely monitored by the FO.





QUESTIONS

For Emergency and Non-Presidentially Declared Natural Disaster grants questions or concerns email: PIHOCl@hud.gov

For questions on Emergency Safety and Security grants email: SafetyandSecurityQuestions@hud.gov and https://www.hud.gov/program_offices/public_indian_housing/programs/ph/capfund/emfunding

For more information on the Capital Fund Emergency, Non-Presidentially Declared Natural Disasters and Safety/Security Grants see Chapter 8 of the Capital Fund Guidebook: <https://www.hud.gov/sites/documents/CAPITALFUNDGUIDEBOOKFINAL.PDF>